

## DENSITY THEOREMS FOR RECIPROCITY EQUIVALENCES

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**Abstract.** A reciprocity equivalence between two number fields is a Hilbert symbol preserving pair of maps  $(t, T)$ , in which  $t$  is a group isomorphism between the global square class groups of the two fields, and  $T$  is a bijection between the sets of primes. For two reciprocity equivalent number fields, it is proved that: Theorem A: The Dirichlet density of the wild set of any reciprocity equivalence is zero. Theorem B: There exists a reciprocity equivalence whose wild set is infinite. Theorem C: Given  $(t, T)$ , the bijection  $T$  determines the global square class isomorphism  $t$ .

### 1. Introduction

This paper contains the results of the dissertation [Pa]. I thank Robert Perlis and P. E. Conner for their insights and guidance.

In [PSCL], Perlis, Szymiczek, Conner, and Litherland investigated Witt rings of algebraic number fields. They proved that two number fields  $K$  and  $L$  have isomorphic Witt rings if and only if the fields are *reciprocity equivalent*, which is defined as follows:

$K$  and  $L$  are reciprocity equivalent when there is a bijection

$$T : \Omega_K \rightarrow \Omega_L$$

between the set  $\Omega_K$  of primes of  $K$  and the set  $\Omega_L$  of primes of  $L$ , and a group isomorphism

$$t : K^*/K^{*2} \rightarrow L^*/L^{*2}$$

of global square classes such that Hilbert symbols are preserved; that is

$$(a, b)_P = (ta, tb)_{TP}$$

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for every  $P$  in  $\Omega_K$  and  $a, b$  in  $K^*/K^{*2}$ . We call the pair of maps  $(t, T)$  a *reciprocity equivalence*.

Let  $P$  denote a finite prime of  $K$ . When  $(t, T)$  preserves  $P$ -orders, *i.e.* when

$$\text{ord}_P(a) \equiv \text{ord}_{TP}(ta) \pmod{2}$$

for each  $a$  in  $K^*/K^{*2}$ , then we say that  $(t, T)$  is *tame* at  $P$ . Otherwise  $(t, T)$  is *wild* at  $P$ . The *wild set* of the reciprocity equivalence  $(t, T)$  is the collection of all finite primes  $P$  where  $(t, T)$  is wild. If the wild set is empty, we say that the reciprocity equivalence  $(t, T)$  is tame.

## 2. Summary of P-S-C-L

This section contains a summary of those results from the paper [P-S-C-L] that will be used in this paper. Let  $P$  be a prime, finite or infinite, of the number field  $K$ , and let  $K_P$  denote the completion of  $K$  at  $P$ . Let  $(t, T)$  be a reciprocity equivalence from  $K$  to  $L$ . The following is Lemma 4, parts a and b, of [P-S-C-L]. For the purposes of this paper, we call it Lemma 1.

LEMMA 1.

1. *There are local symbol-preserving isomorphisms*

$$t_P : K_P^*/K_P^{*2} \rightarrow L_{TP}^*/L_{TP}^{*2}$$

for  $P \in \Omega_K$  making the following diagram commute:

$$\begin{array}{ccc} K^*/K^{*2} & \longrightarrow & K_P^*/K_P^{*2} \\ \downarrow t & & \downarrow t_P \\ L^*/L^{*2} & \longrightarrow & L_{TP}^*/L_{TP}^{*2} \end{array}$$

2. *The map  $T$  sends real primes to real primes, complex primes to complex primes, dyadic primes to dyadic primes, and finite nondyadic primes to finite nondyadic primes.*

Let  $S$  be a finite set of primes of  $K$ . Then  $S$  is said to be *sufficiently large* when  $S$  contains all real and all dyadic primes of  $K$  and when the ring of  $S$ -integers

$$O_S = \{x \in K \mid \text{ord}_P(x) \geq 0 \text{ for all primes } P \in \Omega_K \setminus S\}$$

has odd class number. If  $S$  already contains the real and dyadic primes, then  $S$  is sufficiently large if and only if  $S$  also contains a set of generators of the Sylow 2-subgroup of the ideal class group of  $K$ .

Let  $U_S$  be the group of units of  $O_S$ . That is,

$$U_S = \{x \in K \mid \text{ord}_P(x) = 0 \text{ for all primes } P \in \Omega_K \setminus S\}.$$

By definition, an  $S$ -equivalence from  $K$  to  $L$  consists of:

1. A bijection  $T$  from a sufficiently large set  $S$  of primes of  $K$  to a sufficiently large set  $TS$  of primes of  $L$ .
2. A group isomorphism

$$t_S : U_S/U_S^2 \rightarrow U_{TS}/U_{TS}^2.$$

3. For each prime  $P$  of  $S$  a symbol-preserving isomorphism

$$t_P : K_P^*/K_P^{*2} \rightarrow L_{TP}^*/L_{TP}^{*2}.$$

4. A commutative diagram

$$\begin{array}{ccc} U_S/U_S^2 & \xrightarrow{\text{diag}} & \prod_{P \in S} K_P^*/K_P^{*2} \\ t_S \downarrow & & \downarrow \prod_{P \in S} t_P \\ U_{TS}/U_{TS}^2 & \xrightarrow{\text{diag}} & \prod_{P \in S} L_{TP}^*/L_{TP}^{*2}. \end{array}$$

Our second lemma is Lemma 5 from [P-S-C-L].

LEMMA 2. *Let  $S$  be a sufficiently large set of primes of  $K$ . Then the map*

$$U_S/U_S^2 \xrightarrow{\text{diag}} \prod_{P \in S} K_P^*/K_P^{*2}$$

*is injective.*

We close this section by quoting two results from [P-S-C-L]. The first is [P-S-C-L] Theorem 2, which we relabel Theorem 1:

THEOREM 1. *An  $S$ -equivalence from  $K$  to  $L$  can be extended to a reciprocity equivalence that is tame outside of  $S$ .*

The next result is taken from Corollary 3 of [P-S-C-L], restated in terms appropriate for this paper:

COROLLARY. Let  $(t, T)$  be a reciprocity equivalence between two number fields  $K$  and  $L$  with at most a finite wild set  $W$ . Let  $S$  be a sufficiently large set of primes of  $K$  containing  $W$ . If  $TS$  is also sufficiently large, then  $(t, T)$  restricted to  $U_S/U_S^2$  is an  $S$ -equivalence.

### 3. Main Lemma

Let  $F$  be an algebraic number field and let  $M$  be a set of primes of  $F$ .

The terminology *almost all* means 'with the possible exception of a set of Dirichlet density 0'. Define

$$G(M) = \{\bar{x} \in F^*/F^{*2} \text{ such that } \bar{x} = 1 \text{ in } F_P^*/F_P^{*2} \text{ for almost all } P \text{ in } M\}.$$

MAIN LEMMA. If  $G(M)$  is infinite, then the Dirichlet density of  $M$  is zero.

PROOF.  $G(M)$  is a vector-space over the field  $F_2$  of order 2. Being infinite,  $G(M)$  has infinite dimension over  $F_2$ . Hence, for any natural number  $k$  there are  $F_2$ -linearly independent elements  $\bar{x}_1, \bar{x}_2, \dots, \bar{x}_k$  in  $G(M)$ . Set  $E_k = F(\sqrt{x_1}, \sqrt{x_2}, \dots, \sqrt{x_k})$ , where  $x_i$  is any representative of  $\bar{x}_i$ . Then  $E_k$  has degree  $[E_k : F] = 2^k$  over  $F$ . Let  $D_k$  be the set of finite primes of  $F$  that split completely in  $E_k$ , and let  $\Delta_k$  denote the set of all primes  $P$  of  $F$  which ramify in  $E_k$ .

We assert that  $M$  is almost contained in  $D_k \cup \Delta_k$ .

For  $k$  fixed and for each  $i$  in the range  $1 \leq i \leq k$ , let  $S_i$  be the set of all primes  $P$  in  $M$  for which  $x_i$  is not a square in  $F_P$ . By definition of  $G(M)$ , each set  $S_i$  has density 0. And  $\Delta_k$  is also finite. Thus

$$S[k] = \left( \bigcup_{i=1}^k S_i \right) \cup (\Delta_k)$$

has density 0. Let  $P$  be a finite prime in  $M \setminus S[k]$  and let  $Q$  be a prime of  $E_k$  that lies over  $P$ . Since  $x_i$  is a square in  $F_P$  for  $1 \leq i \leq k$ , the completion

$$(E_k)_Q = F_P(\sqrt{x_1}, \sqrt{x_2}, \dots, \sqrt{x_k}) = F_P.$$

Hence  $P$  splits completely in  $E_k$ ; so  $P$  is contained in  $D_k$ . Since each prime of  $M$  outside of  $S[k]$  lies in  $D_k$ , it follows that  $M$  is contained in the union of  $D_k$  with the set  $S[k]$ . By Chebotarev's Density Theorem, the density of  $D_k$  is  $[E_k : F]^{-1} = 2^{-k}$ . Since the set  $S[k]$  has density 0, the set  $M$  is a subset of a set of density  $2^{-k}$  for every natural number  $k$ . It follows that  $M$  has Dirichlet density 0, proving the lemma.

## 4. Theorems A, B, C

This section contains the proofs of the three theorems mentioned in the abstract.

LEMMA 3. *Each element  $x$  in  $K^*$  is a local square at every wild prime of  $(t, T)$  with the exception of at most finitely many wild primes.*

PROOF. Suppose not. Then, since  $x$  is locally a unit at all but finitely many primes, there is an infinite set  $C$  of finite nondyadic wild primes of  $K$  such that  $x$  is locally a non-square unit at every prime in  $C$ . Applying the square class map  $t$  then shows that  $t(\bar{x})$  is locally the square class of a local prime element at  $TP$  for an infinite set of primes  $TP$  of  $L$ . This is impossible, proving the lemma.

THEOREM A. *If  $(t, T)$  is a reciprocity equivalence from  $K$  to  $L$ , then the density of its wild set is zero.*

PROOF. Let  $M$  be the wild set of  $(t, T)$ .

We assert that  $G(M)$  is equal to the infinite square class group  $K^*/K^{*2}$ .

The inclusion  $G(M) \subset K^*/K^{*2}$  is clear. Conversely, take  $\bar{x}$  in  $K^*/K^{*2}$  and let  $x$  be an element of  $\bar{x}$ . By Lemma 3,  $x$  is a local square at almost every element of  $M$ . Thus  $x$  lies in  $G(M)$ , proving the assertion that  $G(M) = K^*/K^{*2}$ . Hence  $G(M)$  is infinite and so by the Main Lemma,  $M$  has density zero, proving Theorem A.

Let  $A$  be an abelian multiplicative group. Recall that the *rank* of  $A$  is the minimal size of a set of generators of  $A$ . If no finite set of elements generates  $A$ , then the rank of  $A$  is  $\infty$ .

REMARK. By the Dirichlet  $S$ -unit theorem, if  $S$  is a finite set of primes of  $K$  containing all infinite primes, then  $U_S$  is finitely generated (in fact,  $U_S$  has rank  $|S| - 1$ ). It follows that  $U_S/U_S^2$  is also finitely generated.

Let  $P$  be a finite nondyadic prime of a number field  $K$ , let  $u$  be a nonsquare unit of the ring of local integers of  $K_P$ , and let  $\pi$  be a local uniformizing parameter of  $P$ . Then we have the following values for Hilbert symbols:

$$(u, u)_P = 1, (\pi, u)_P = -1, (u\pi, u)_P = -1.$$

Moreover  $(\pi, \pi)_P = 1$  if and only if  $-1$  is a square in  $K_P^*/K_P^{*2}$ .

LEMMA 4. *Let  $S$  be a sufficiently large set of primes of a number field  $K$ . Then there is a prime  $P'$  outside of  $S$  and a self-equivalence*

$(t', T')$  from  $U_S/U_S^2$  onto  $U_{S'}/U_{S'}^2$  where  $S' = S \cup \{P'\}$  and where  $(t', T')$  is defined as follows:

1.  $t'$  is the identity map on  $U_S/U_S^2$ .
2.  $T'$  is the identity map on  $S'$ .
3. For every prime  $P$  in  $S$  the local map  $t'_P$  of  $(t', T')$  is the identity on  $K_P^*/K_P^{*2}$ .
4. The local map  $t_{P'} : K_{P'}^*/K_{P'}^{*2} \rightarrow K_{P'}^*/K_{P'}^{*2}$  is defined by  $t_{P'}(\bar{1}) = \bar{1}$ ,  $t_{P'}(\bar{u}') = \bar{u}'\bar{\pi}'$ ,  $t_{P'}(\bar{\pi}') = \bar{\pi}'$  and  $t_{P'}(\bar{u}'\bar{\pi}') = \bar{u}'$  where  $u'$  denotes  $u_{P'}$  and  $\pi'$  denotes  $\pi_{P'}$ .

PROOF. By Dirichlet's S-unit theorem, there exist  $a_1, a_2, \dots, a_n$  in  $K$  which generate  $U_S/U_S^2$ . Put  $a_0 = -1$  and let  $L_S$  denote the field

$$K(\sqrt{a_0}, \sqrt{a_1}, \dots, \sqrt{a_n}).$$

Infinitely many primes of  $K$  split completely in  $L_S$ ; choose  $P'$  to be one of these primes that is finite and nondyadic. Thus  $a_i$  is a square at  $P'$  for  $0 \leq i \leq n$ . Let  $S' = S \cup \{P'\}$  and let  $(t', T')$  be as in the statement of this claim. Then the following diagram commutes:

$$\begin{array}{ccc} U_S/U_S^2 & \xrightarrow{\text{diag}} & \prod_{P \in S'} K_P^*/K_P^{*2} \\ t_{S'} \downarrow & & \downarrow \prod_{P \in S'} t_P \\ U_{TS'}/U_{TS'}^2 & \xrightarrow{\text{diag}} & \prod_{P \in S'} L_{TP}^*/L_{TP}^{*2}. \end{array}$$

It remains to check that Hilbert symbols are preserved. This is automatic for all  $P \in S$  since the local map is the identity, so it remains to check that the local map at  $P'$  preserves Hilbert symbols. Since  $a_0 = -1$  is a square in  $K_{P'}^*/K_{P'}^{*2}$ , we have the following Hilbert symbol equalities:

$$(u', u')_{P'} = (u', u')_{P'}(u', \pi')_{P'}^2(\pi', \pi')_{P'} = (u'\pi', u'\pi')_{P'}$$

$$\text{and } (u', \pi')_{P'} = (u', \pi')_{P'}(\pi', \pi')_{P'} = (u'\pi', \pi')_{P'}.$$

From the two equalities above and the definition of  $t_{P'}$ , we see that  $t_{P'}$  preserves local Hilbert symbols. Finally, since  $S'$  is sufficiently large,  $(t', T')$  is an  $S'$ -equivalence, proving Lemma 4.

LEMMA 5. Let  $(t, T)$  be a reciprocity equivalence from the number field  $K$  to the number field  $L$  with a finite wild set  $W$  comprised of  $n$  elements (where  $n$  can be zero). Suppose that  $S$  and  $TS$  are sufficiently large sets of primes of  $K$  and  $L$ , respectively, and suppose that  $S$  contains  $W$ . Then

there exists a prime  $P'$  of  $K$  outside of  $S$ , a set of primes  $S' = S \cup \{P'\}$  and an  $S'$ -equivalence  $(t', T')$  from  $U_{S'}/U_{S'}^2$  onto  $U_{TS'}/U_{TS'}^2$  satisfying the following properties:

1.  $(t', T')$  has exactly  $n + 1$  wild primes;
2.  $(t', T')$  restricted to  $U_S/U_S^2$  is precisely  $(t, T)$  restricted to  $U_S/U_S^2$ .

PROOF. By the Corollary to Theorem 1,  $(t, T)$  restricted to  $U_S/U_S^2$  is an  $S$ -equivalence onto  $U_{TS}/U_{TS}^2$ . By Lemma 4 applied to the field  $L$ , there exists a prime  $P'$  of  $K$  outside of  $S$  such that, for  $S' = S \cup \{P'\}$  (and hence  $TS' = TS \cup \{TP'\}$ ), there exists an  $TS'$ -self-equivalence  $(t', T')$  from  $U_{TS'}/U_{TS'}^2$  onto  $U_{TS'}/U_{TS'}^2$  which satisfies properties 1, 2, 3, and 4 of Lemma 4 (with the field  $L$  in place of  $K$ .)

Let  $(t'', T'')$  denote the composition  $(t' \circ t, T' \circ T)$  of the given reciprocity equivalence  $(t, T)$  from  $K$  to  $L$  with the  $TS'$ -self-equivalence we just constructed. Then  $(t'', T'')$  is an  $S'$ -equivalence from  $U_{S'}/U_{S'}^2$  onto  $U_{TS'}/U_{TS'}^2$  with exactly  $n + 1$  wild primes. The reader can easily see that property 2 holds under this construction. This proves Lemma 5.

Lemma 5 contains the main ingredients needed for constructing a reciprocity equivalence with an infinite wild set. However, there are some necessary technical details which are handled in the following lemma.

LEMMA 6. Let  $(t, T)$  be a reciprocity equivalence from  $K$  to  $L$  with a finite wild set  $W(t, T)$ . Let  $p_1, p_2, p_3, \dots$  denote an ordering of the rational primes numbers. For every natural number  $n$ , let  $A_n$  denote the set of all prime ideals in  $K$  lying over a rational prime  $p_j$  with  $j \leq n$ . Similarly, let  $B_n$  denote the set of all prime ideals in  $L$  lying over a rational prime  $p_j$  with  $j \leq n$ . We assert:

a). There exists a sufficiently large set  $S_1$  containing  $A_1$ , the wild set of  $(t, T)$ , and containing at least one wild prime. There also exists an  $S_1$ -equivalence  $(t_1, T_1)$  for which  $T_1(S_1) \supset B_1$ .

b). Given a natural number  $n$  and given a sufficiently large set  $S_n$  containing  $S_1$  and given an  $S_n$ -equivalence  $(t_n, T_n)$  that restricts to  $(t_1, T_1)$ , and given that the wild set of  $(t_n, T_n)$  contains at least  $n$  primes, then there is a set  $S_{n+1}$  containing  $S_n$  and an  $S_{n+1}$ -equivalence  $(t_{n+1}, T_{n+1})$  which restricts to  $(t_n, T_n)$  and whose wild set contains at least  $n + 1$  primes, with  $S_{n+1} \supset A_{n+1}$  and  $T_{n+1}(S_{n+1}) \supset B_{n+1}$ .

PROOF. Let  $C$  be a finite set of primes which generates the Sylow 2-subgroup of the ideal class group of  $K$ . Define  $S_0$ , a set of primes of  $K$ , to be the union of all infinite primes, dyadic primes, and the set  $C$ . Similarly define  $\tilde{S}_0$ , a set of primes of  $L$ , to be the union of all infinite primes, dyadic primes and a set  $D$  of generators of the Sylow 2-subgroup of the ideal class

group of  $L$ . Clearly any finite set of primes containing either  $S_0$  or  $\tilde{S}_0$  is sufficiently large. Let  $S'_1 = S_0 \cup T^{-1}(\tilde{S}_0 \cup B_1) \cup W(t, T) \cup A_1$ . By Lemma 5 there exists a prime  $P_0$  of  $K$  outside of  $S'_1$ , a set  $S_1 = S'_1 \cup \{P_0\}$  and an  $S_1$ -equivalence  $(t_1, T_1)$  from  $U_{S_1}/U_{S_1}^2$  onto  $U_{T_1 S_1}/U_{T_1 S_1}^2$  for which  $P_0$  is wild and which restricts to  $(t, T)$ . Thus, the wild set  $W(t_1, T_1)$  contains at least one wild prime. This proves part a).

For b), we first extend the given  $S_n$ -equivalence  $(t_n, T_n)$  to a reciprocity equivalence  $(t'_n, T'_n)$ , by Theorem 1. In fact, this extension  $(t'_n, T'_n)$  is tame outside  $S_n$  although that is not needed here. Let  $S'_{n+1} = S_n \cup A_{n+1} \cup T'^{-1}_n(B_{n+1})$ . By Lemma 5 there exists a prime  $P_{n+1}$  of  $K$  outside of  $S'_{n+1}$  and an extension of the given  $S_n$ -equivalence  $(t_n, T_n)$  to an  $S_{n+1}$ -equivalence  $(t_{n+1}, T_{n+1})$  where  $S_{n+1} = S'_{n+1} \cup \{P_{n+1}\}$ , for which  $P_{n+1}$  is wild. Thus the wild set  $W(t_{n+1}, T_{n+1})$  contains at least  $n + 1$  primes. This proves b) and Lemma 6.

This brings us to

**THEOREM B.** *If  $K$  is reciprocity equivalent to  $L$ , then there exists a reciprocity equivalence between them with an infinite wild set.*

**PROOF.** Recall that  $\Omega_K$  denotes the collection of all primes (finite, dyadic, infinite) of the field  $K$  and  $\Omega_L$  denotes the set of all primes of  $L$ . Let  $(t, T)$  be a reciprocity equivalence from  $K$  to  $L$ . If the wild set  $W(t, T)$  is infinite, there is nothing to prove. So assume the wild set is finite. By Lemma 6, there is a sequence of sufficiently large sets

$$S_1 \subset S_2 \subset \dots \subset S_n \subset \dots$$

and a corresponding sequence of  $S_n$ -equivalences  $(t_n, T_n)$ , in which the  $(n + 1)$ st extends the  $n$ th, and for which the wild set  $W(t_n, T_n)$  has at least  $n$  primes. Then the union

$$\cup_{n=1}^{\infty} S_n = \Omega_K$$

since  $S_n$  contains the set  $A_n$  defined in Lemma 6, and similarly

$$\cup_{n=1}^{\infty} T_n(S_n) = \Omega_L.$$

By compatibility, the bijections  $T_n$ 's canonically induce a bijection  $T_*$  from  $\Omega_K$  to  $\Omega_L$ . Moreover,

$$\cup_{n=1}^{\infty} U_{S_n}/U_{S_n}^2 = K^*/K^{*2},$$



and therefore the compatible group isomorphisms  $t_n$  canonically induce a group isomorphism  $t_*$  from  $K^*/K^{*2}$  to  $L^*/L^{*2}$ . Then the pair  $(t_*, T_*)$  preserves Hilbert symbols, since each pair  $(t_n, T_n)$  does, and the wild set of  $(t_*, T_*)$  exceeds  $n$  for every natural number  $n$ . Thus  $(t_*, T_*)$  is the desired reciprocity equivalence with an infinite wild set, proving Theorem B.

Having proved Theorems A and B, we turn our attention to the following question: Given a reciprocity equivalence  $(t, T)$ , to what extent does either of the two maps determine the other? In [P-S-C-L], Lemma 4, part f, it is proved that the square class isomorphism  $t$  determines  $T$  at the non-complex primes. For use below, we cite a very special case. We refer to a reciprocity equivalence from a field  $K$  to itself as a *self-equivalence*.

LEMMA 7. *Let  $(t, T)$  be a self-equivalence on  $K$ . If  $t = id$ , then  $T = id$  except possibly at the complex primes.*

It should be observed that, given a reciprocity equivalence  $(t, T)$ , one can change  $T$  by arbitrarily permuting the complex primes, yielding a new bijection  $T'$  for which  $(t, T')$  is another reciprocity equivalence. This settles the question above in one direction. We now consider the question: Does  $T$  determine  $t$ ? The answer is given in Theorem C, below. The proof will take some preparation; the key step involves the sets  $G(M)$  of the Main Lemma, in section 3.

LEMMA 8. *Let  $(t, T)$  be a self-equivalence on  $K$ , and let  $\eta$  be the homomorphism from  $K^*/K^{*2}$  to  $K^*/K^{*2}$  sending  $\bar{x}$  to  $t(\bar{x})/(\bar{x})$ . Fix an element  $\bar{y}$  of  $K^*/K^{*2}$ . Then there is a finite set,  $S(\bar{y})$ , of primes so that for any tame prime  $P \notin S(\bar{y})$  with  $TP = P$ , then  $\eta(\bar{y}) = \bar{1}$  locally in  $K_P^*/K_P^{*2}$ .*

PROOF. Let  $\bar{y} \in K^*/K^{*2}$ , and  $y \in \bar{y}$ . We define  $S(\bar{y})$  to be the set of all infinite primes, dyadic primes, and primes  $P$  for which  $ord_P(y) \neq 0$ . Now suppose that  $P$  is a tame prime outside of  $S(\bar{y})$  for which  $TP = P$ . Let  $u_P$  be a local non-square unit at  $P$ . Then  $t_P(u_P) = u_{TP} = u_P$ , by tameness. But locally at  $P$  the class  $\bar{y}$  is either a local square or the class of  $u_P$  at  $P$ . So  $\eta(\bar{y}) = t_P(\bar{y})/\bar{y} = \bar{1}$  locally at  $P$ , proving Lemma 8.

LEMMA 9. *Let  $(t, T)$  be a self-equivalence on  $K$  and let  $\eta$  be as before. Suppose that the image of  $\eta$  is a finite set. Then  $TP = P$  for every prime  $P$  of  $K$  outside of a finite exceptional set.*

PROOF. Let  $\{\bar{x}_1, \bar{x}_2, \dots, \bar{x}_n\}$  denote the image of  $\eta$ . The set of all dyadic primes, infinite primes, and all primes  $P$  for which  $ord_{TP}(\bar{x}_i) \not\equiv 0 \pmod{2}$

for some index  $i$  is a finite set. Take  $P$  outside this finite set. We claim that  $TP = P$ ; we argue by contradiction. If  $TP \neq P$ , then by approximation, there exist global square classes  $\bar{a}, \bar{b}$  such that  $\bar{a}$  is a local square at  $TP$  and a local prime element at  $P$ , while  $\bar{b}$  is a local non-square unit at  $P$  and a local square at  $TP$ . Write  $\eta(\bar{a}) = \bar{x}_j$  and  $\eta(\bar{b}) = \bar{x}_k$ . Then we compute Hilbert symbols as follows:

$$\begin{aligned} -1 &= (\bar{a}, \bar{b})_P = (t_P(\bar{a}), t_P(\bar{b}))_{TP} = (\bar{x}_j \bar{a}, \bar{x}_k \bar{b})_{TP} = \\ &= (\bar{a}, \bar{b})_{TP} (\bar{x}_j, \bar{b})_{TP} (\bar{a}, \bar{x}_k)_{TP} (\bar{x}_j, \bar{x}_k)_{TP} = 1 \end{aligned}$$

since  $\bar{a}, \bar{b}$  are local squares at  $TP$  and  $\bar{x}_j$  and  $\bar{x}_k$  are locally the square classes of local units at the non-dyadic prime  $TP$ . This contradiction proves that  $TP = P$ , proving the lemma.

LEMMA 10. *Let  $(t, T)$  be a self-equivalence on  $K$  and  $\eta$  be as before. If the image of  $\eta$  is finite, then  $t = id$  and  $T = id$  except possibly at the complex primes of  $K$ .*

PROOF. We will show that  $t = id$ ; then  $T(P) = P$  for non-complex  $P$  follows immediately from Lemma 7. To show that  $t = id$  we will show that the image of  $\eta$  is  $\bar{1}$ . We begin by partitioning the set of primes of  $K$  into three disjoint subsets  $A, B$  and  $C$ . Let  $A$  be the set of all dyadic primes, infinite primes, and all tame primes  $P$  of  $(t, T)$  such that  $TP \neq P$ . The set  $A$  is finite by Lemma 9. Let  $B$  be the set of all nondyadic tame primes  $P$  of  $(t, T)$  such that  $TP = P$ ; and let  $C$  be the set of all nondyadic wild primes of  $(t, T)$ . The subsets  $B$  and  $C$  can be infinite. Let  $\bar{x} \in K^*/K^{*2}$ . By Lemma 8,  $\eta(\bar{x}) = 1$  locally at  $P$  for every prime  $P$  in  $B$  outside a finite exceptional set. By Lemma 3,  $\eta(\bar{x}) = 1$  locally at  $P$  for every prime  $P$  of  $C$  outside of a finite exceptional set. Thus  $\eta(\bar{x})$  is a local square at  $P$  for every prime  $P$  of  $K$  outside of a finite set, and so by the Global Square Theorem,  $\eta(\bar{x}) = \bar{1}$  in  $K^*/K^{*2}$ . Hence  $t = id$ ; whence  $T = id$  except possibly at the complex primes.

Recall that, for a set  $M$  of primes of  $K$ , then  $G(M)$  is the set of all global square classes that are local squares at  $P$  for almost all  $P$  in  $M$ .

LEMMA 11. *Let  $(t, T)$  be a self-equivalence on  $K$  and let  $M$  be the set of primes  $P$  of  $K$  such that  $TP = P$ . Then  $\eta(\bar{x}) \in G(M)$  for every  $\bar{x} \in K^*/K^{*2}$ .*

PROOF: Let  $\bar{x}$  be a fixed element of  $K^*/K^{*2}$  and let  $A$  (respectively  $B$ ) be the set of all tame (respectively wild) primes  $P$  of  $(t, T)$  contained in  $M$  such that  $\eta(\bar{x}) \neq 1$  in  $K_P^*/K_P^{*2}$ . By Lemma 8, the density of  $A$  is zero.

Since the wild set has density 0 by Theorem A, the subset  $B$  has density zero. Therefore the density of  $A \cup B$  is zero. Hence  $\eta(\bar{x})$  is a local square at  $P$  for every  $P \in M$  outside  $A \cup B$ , proving the lemma.

**COROLLARY.** *Let  $(t, T)$  be a self-equivalence on  $K$  and  $M$  be the set of primes  $P$  of  $K$  such that  $TP = P$ . If the density of  $M$  is bigger than zero, then  $t = id$  and  $T = id$  except possibly at the complex primes of  $K$ .*

**PROOF.** Suppose that  $t \neq id$  or  $T \neq id$  except possibly at the complex primes of  $K$ . By Lemma 10 the image of  $\eta$  is infinite. It follows from Lemma 11 that  $G(M)$  is infinite, and hence, by the Main Lemma, the density of  $M$  is zero, contrary to our hypothesis. This establishes the corollary.

**THEOREM C.** *Let  $(t_1, T_1)$  and  $(t_2, T_2)$  be reciprocity equivalences from  $K$  to  $L$ .*

1. *If  $t_1 = t_2$ , then  $T_1 = T_2$  except possibly at the complex primes of  $K$ .*

2. *Let  $M$  be a set of primes of  $K$  of positive density. If  $T_1P = T_2P$  for every prime  $P$  in  $M$ , then  $t_1 = t_2$  and  $T_1 = T_2$  except possibly at the complex primes of  $K$ .*

**PROOF.** Note that  $(t_2^{-1}t_1, T_2^{-1}T_1)$  is a self-equivalence on  $K$ . If  $t_1 = t_2$ , then  $t_2^{-1}t_1 = id$ , and so part 1 follows from Lemma 7.

If  $T_1P = T_2P$  for every prime  $P$  contained in  $M$ , then  $T_2^{-1}T_1P = P$  for  $P \in M$ . By the Corollary to Lemma 11,  $t_2^{-1}t_1 = id$  and  $T_2^{-1}T_1 = id$  except possibly at the complex primes of  $K$ , and 2 follows, proving the theorem.

**COROLLARY.** *Let  $(t, T)$  be a reciprocity equivalence from  $K$  to  $L$ . Fix two distinct noncomplex primes  $P_0, Q_0$  of  $K$ . Define a new map  $T_1$  on primes by  $T_1(P) = T(P)$  for  $P$  not in  $\{P_0, Q_0\}$ ,  $T_1(P_0) = T(Q_0)$  and  $T_1(Q_0) = T(P_0)$ . Then for any square class map  $t_1$ , the pair  $(t_1, T_1)$  is not a reciprocity equivalence.*

**PROOF.** Suppose  $(t_1, T_1)$  is a reciprocity equivalence. The complement of the set  $\{P_0, Q_0\}$  in the set of all primes of  $K$  has density 1. Hence, by Theorem C,  $T = T_1$  at the non-complex primes, contrary to the definition of  $T_1$ , proving the corollary.

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